



CANADA)
PROVINCE OF SASKATCHEWAN)
TO WIT)

IN THE MATTER OF *THE LEGAL PROFESSION ACT, 1990*
AND IN THE MATTER OF JOHN PAUL KWOK,
A LAWYER OF SASKATOON, SASKATCHEWAN

Formal Complaint

I, MELANIE HODGES NEUFLED, of the City of Regina, in the Province of Saskatchewan, Complaints Counsel for the Law Society of Saskatchewan, do hereby certify that Robert Heinrichs, Vice-Chair of the Discipline Committee of the Benchers of The Law Society of Saskatchewan, has appointed a Hearing Committee pursuant to Section 47(1) of *The Legal Profession Act, 1990*, comprised of George Patterson as Chair, Miguel Martinez and Lorne Mysko to hear and determine a formal complaint set out by the Investigation Committee consisting of Greg Walen, Q.C. and Peter Hryhorchuk, pursuant to Section 46(1) of *The Legal Profession Act, 1990*, against John Paul Kwok, a lawyer and a member of The Law Society of Saskatchewan, carrying on the practice of law at all of the relevant times in the City of Saskatoon, in the Province of Saskatchewan, as follows:

THAT John Paul Kwok, of the City of Saskatoon, in the Province of Saskatchewan is guilty of conduct unbecoming a lawyer in that he did:

1. Fail in his professional duty to meet financial obligations incurred or assumed in the course of practice, namely the payment of GST to the Canada Revenue Agency, when called upon to do so;

Reference *Code of Professional Conduct* Chapter XIX Commentary 7

2. Wrongfully convert, for his own use or the use of his legal practice, funds collected from clients as GST and held pursuant to a statutory trust;

Reference *Code of Professional Conduct Chapter I*, section 48 of *The Revenue and Financial Services Act* and *Law Society Rule 900*

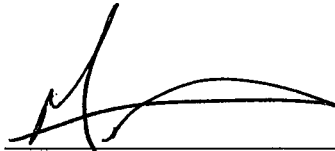
3. Receive monies from or on behalf of a client expressly for a specific purpose, namely for payment of GST on legal accounts, and failed, without the client's consent, to pay them to Canada Revenue Agency for that purpose;

Reference *Code of Professional Conduct Chapter I* note 3(f)

4. Wrongfully convert, for his own use or the use of his legal practice, funds collected from an employee as income tax deducted at source and held pursuant to statutory trust.

Reference *Code of Professional Conduct Chapter I*

DATED at the City of Regina, in the Province of Saskatchewan, this 24 day of June, 2010.



MELANIE HODGES NEUFELD
Complaints Counsel for the Law Society of
Saskatchewan