

**LAW SOCIETY OF SASKATCHEWAN**

**TRUST ACCOUNT EXEMPTION (TA-7)  
TRUST ACCOUNT COMMENCEMENT (TA-1)**

**RULE 1201**  
(amended June, 2008)

I/we \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

of \_\_\_\_\_ in the Province of Saskatchewan, do solemnly declare that:

I/we commenced practice on: \_\_\_\_\_ 20 \_\_\_\_ .

as: Member/Firm      Name: \_\_\_\_\_  
                                 Address: \_\_\_\_\_  
                                 Postal Code: \_\_\_\_\_  
                                 Phone: \_\_\_\_\_  
                                 Fax: \_\_\_\_\_

**Completion Instructions:**

Complete **Section A** if the member/firm **will not** be receiving trust funds or establishing a trust account.

- or -

Complete **Section B** if the member/firm **will** be receiving trust funds or establishing a trust account.

**Only** the applicable section (A or B) must be completed, signed by **all** firm lawyers (ie partner, member shareholder, associate/employee, sole practitioner) and submitted to the Law Society of Saskatchewan **within 30 days** of commencing the practice of law **or** establishing a trust account **or** first receiving trust monies, whichever is the earlier.

**SECTION A. TRUST ACCOUNT EXEMPTION (TA-7)**

I/we undertake not to provide legal services to the public;

- and/or -

I/we undertake not to receive or handle trust funds.

AND I/we make this solemn declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath and by virtue of the *Canada Evidence Act* and for the purpose of obtaining from the Law Society of Saskatchewan an exemption from filing Section B – Trust Account Commencement (TA-1).

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

## SECTION B. TRUST ACCOUNT COMMENCEMENT (TA-1)

1. Please list all members of the firm and their classification (ie partner, member shareholder, associate/employee, sole practitioner)

Name	Classification
_____	_____
_____	_____
_____	_____

2. The member/firm has established a pooled trust account(s) at the following financial institution(s):

Name	Address
_____	_____
_____	_____
_____	_____

3. The fiscal year-end of the firm will be \_\_\_\_\_

4. The books, records and accounts of the member/firm will be kept at \_\_\_\_\_ and meet the requirements of Part 13 of the Rules of the Law of Saskatchewan (particularly Rules 962 and 963).

5. The firm's trust accounting records are or will be computerized: Yes  No   
If yes, what software is or will be in use? \_\_\_\_\_  
(Note: ESI Law, PC Law and Thomson Elite are the only computerized systems approved for use).

6. I/we anticipate the accountant (CA, CMA or CGA) who will be completing Form TA-5 or TA-5S on behalf of the firm at the end of the fiscal year is:

Name/Firm \_\_\_\_\_

7. On behalf of the firm, I undertake to **submit** to the auditor/inspector of the Law Society of Saskatchewan copies of the trust bank statements, trust bank reconciliations and trust account listings **on a monthly basis** by the 20<sup>th</sup> of the month following for a minimum period of 6 months from the commencement date of the practice. Information will be provided on all pooled and interest bearing trust accounts maintained by the member/firm.

8. On behalf of the firm, I agree that upon the reasonable request of the Law Society of Saskatchewan, all firm books, records and accounts will be reviewed by an independent practicing accountant for verification that the said books, records and accounts comply with Part 13 of the Rules of the Law Society of Saskatchewan.

DATED at \_\_\_\_\_, Saskatchewan, this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_ .

_____	_____
Date	Signature

_____	_____
Date	Signature

_____	_____
Date	Signature

This form must be signed by all partners/member shareholders of the firm.

