

CANADA)
PROVINCE OF SASKATCHEWAN)
TO WIT)

IN THE MATTER OF *THE LEGAL PROFESSION ACT, 1990*
AND IN THE MATTER OF ROCH DUPONT,
A LAWYER OF REGINA, SASKATCHEWAN

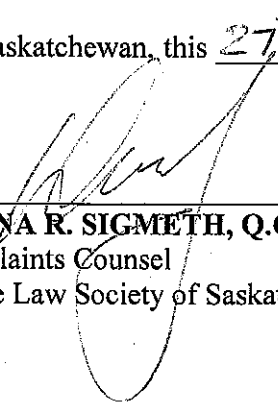
Formal Complaint

I, DONNA R. SIGMETH, Q.C., of the City of Regina, in the Province of Saskatchewan, Complaints Counsel for the Law Society of Saskatchewan, do hereby certify that Brenda Hildebrandt, Chair of the Discipline Committee of the Benchers of the Law Society of Saskatchewan, has appointed a Hearing Committee pursuant to Section 47(1) of *The Legal Profession Act, 1990*, comprised of Jay Watson, as Chair, Heather Hodgson and David Flett to hear and determine a Formal Complaint set out by the Conduct Investigation Committee consisting of Leslie Belloc-Pinder and Ronald Parchomchuk, pursuant to Section 46(1) of *The Legal Profession Act, 1990*, against Roch Dupont, a lawyer and a member of the Law Society of Saskatchewan, carrying on the practice of law at all of the relevant times in the City of Regina, in the Province of Saskatchewan, as follows:

THAT ROCH DUPONT, of the City of Regina, in the Province of Saskatchewan is guilty of conduct unbecoming a lawyer in that he:

1. did fail to disclose or account for fees and disbursements charged to his client, PFF, in a timely fashion;
2. did fail to, within a reasonable time, deliver a bill or other written notification to his client, PFF, in connection with 3 out of 4 accounts rendered to PFF in the course of his retainer, totaling approximately \$16,000.00;
3. did pay out to third parties trust monies held on behalf of his client, PFF, without the knowledge or consent of PFF;
4. did pay out to third parties trust monies held on behalf of his client, PFF, without verifying the validity of the third parties' claims to said monies;
5. did mislead a fellow lawyer, PJ, United States legal counsel for PFF, by stating that he had sent the information PJ was requesting on behalf of PFF, when he knew that statement to be false.

DATED at the City of Regina, in the Province of Saskatchewan, this 27th day of October, 2016.



DONNA R. SIGMETH, Q.C.
Complaints Counsel
for the Law Society of Saskatchewan