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(6) If the Conduct Investigation Committee accepts a resignation in the face of discipline, pursuant to this Rule, the Agreed Statement of Facts shall be published in the same manner and to the same persons as the Notice required by Rule 1137.

(7) Nothing in this Rule affects the ability of the Hearing Committee to permit a member to resign as a penalty.

Resignation Instead of Continued Proceedings

1112(1) A member may make an application to resign instead of continued proceedings to:

- (a) a Conduct Investigation Committee in the early stage of an investigation or after a Formal Complaint has been served on the member but before commencement of a hearing; or
- (b) the Chairperson of the Competency Committee at any time during a review pursuant to Rule 1108.

(2) The Conduct Investigation Committee or Chairperson of the Competency Committee may hear the application to resign instead of continued proceedings, as follows:

- (a) by consent of Counsel for Conduct Investigation Committee or Counsel for the Society; and
- (b) if the member makes admissions and enters into an Agreed Statement of Facts.

(3) The Conduct Investigation Committee or the Chairperson of the Competency Committee may:

- (a) reject the application pending the completion of the respective discipline or competency processes;
- (b) grant the application and accept the member's resignation instead of continued proceedings, and may impose conditions on the acceptance of same;
- (c) impose conditions including a time period of up to five years during which the member will not apply for reinstatement;
- (d) direct that, upon any application for reinstatement, the Agreed Statement of Facts will be considered;
- (e) prior to any application for reinstatement, require the member to:
 - (i) complete a remedial educational program;
 - (ii) undertake to refrain from practicing in specified areas of law;
 - (iii) obtain one or more of:
 - (A) a psychiatric assessment;
 - (B) a psychological assessment; and
 - (C) an addictions assessment;
 - (iv) obtain one or both of:
 - (A) a medical examination; and
 - (B) a medical opinion respecting the member's capability to practise law;
 - (v) satisfy any other conditions, prior to application for reinstatement, that the Conduct Investigation Committee or Chairperson of the Competency Committee deems appropriate.

(4) The decision of the Conduct Investigation Committee or the Chairperson of the Competency Committee mentioned in subrule (3) shall remain on the member's file and be considered upon any future application for reinstatement.

(5) If the Conduct Investigation Committee accepts a resignation pursuant to this Rule, the Agreed Statement of Facts and any decision rendered by the Conduct Investigation Committee shall be published in the same manner and to the same persons as the Notice required by Rule 1137.

(6) If the Competency Committee Chairperson accepts a resignation pursuant to this Rule, the Agreed Statement of Facts shall not be published in accordance with Rule 1137.

Conduct Review Committee

1113(1) The Conduct Investigation Committee may appoint one or more persons as a Conduct Review Committee, to make an informal investigation of a matter referred to it pursuant to Rule 1110(3)(c), and to counsel the member.

(2) At a Conduct Review:

- (a) the member shall appear personally;
- (b) the meeting shall be private; and
- (c) the proceedings shall be informal.

(3) Following the meeting pursuant to subrule (2) the Conduct Review Committee shall advise the Conduct Investigation Committee that the review has been completed by providing a report to the Conduct Investigation Committee.

(4) Conduct Review Reports may be summarized and published anonymously.

(5) When a hearing is held pursuant to Rule 1128 in respect of a matter investigated pursuant to this Rule:

- (a) any report prepared by the Conduct Review Committee may not be admitted at the hearing; and
- (b) a member of the Conduct Review Committee shall not, except in response to a question by the member, testify as to any statement made by the member during the conduct review.

Hearing Committee Roster

1114(1) The Benchers, in consultation with and with the assistance of the Hearing Administrator, shall establish a roster of persons eligible to be appointed to sit on a Hearing Committee, consisting of:

- (a) members; and
- (b) any other person who has reached the age of majority and has had appropriate tribunal hearing training or experience.

(2) In establishing the roster mentioned in subrule (1), the Benchers shall not include any member of the Conduct Investigation Committee.

(3) The Hearing Administrator shall review the qualifications and training of Hearing Committee Roster members to ensure that they are qualified and or trained prior to being appointed to sit on a Hearing Committee.

[Rule 1114(1) amended; 1114(3) added, June 25, 2021]

[Rule 1114(1)(a) and (b) deleted, thereby changing numbering sequence, May 1, 2025]

[Rule 1115. Hearing Committee Appointment Coordinator, deleted in its entirety, June 25, 2021]

Conduct Investigation Committee to Direct Prosecution

1116 The Conduct Investigation Committee, or a designated subcommittee thereof, shall direct the prosecution of a Formal Complaint.

[Rule 1116 amended, June 25, 2021]

Amendment to Formal Complaint Before Hearing

1117(1) The Conduct Investigation Committee, or a designated subcommittee thereof, may amend the recommendation set out in its original motion made pursuant to subrule 1110(3)(e) at any time before the hearing.

(2) Amendments made pursuant to subrule (1) will form part of the Formal Complaint and may include, but are not limited to, removal or revision of allegations set out in the original motion.

(3) Notice of any amendments made pursuant to this Rule shall be served on the member not less than 30 days before the commencement of the hearing, unless the member consents in writing to a shorter period.

[Rule 1117(1) amended, June 25, 2021]

Appointment of Hearing Committee

1118(1) The Hearing Administrator shall, after receiving notice of a motion pursuant to Rule 1110(3)(e), draw from the Hearing Committee Roster to appoint a three person Hearing Committee and designate a Chairperson to hear and determine a Formal Complaint.

(2) Unless the Hearing Administrator concludes that there are extraordinary circumstances that make it impractical to appoint a lawyer member as Chairperson of a Hearing Committee, the Chairperson of the Hearing Committee appointed in accordance with subrule (1) shall be a lawyer member from the Hearing Committee Roster.

(3) Unless the Hearing Administrator concludes that there are extraordinary circumstances that make it impractical, one member of every Hearing Committee should be a Hearing Committee Roster member who is not a lawyer.

(4) If a member of a Hearing Committee appointed pursuant to this Rule is absent or unable to continue:

- (a) the Hearing Administrator may appoint another person to fill the vacancy; or
- (b) the Hearing Committee may continue with two people.

(5) A Hearing Committee appointed pursuant to this Rule is not a Committee within the meaning of section 7.1 of the Act and the duties of the Chairperson of a Hearing Committee to hear and determine a Formal Complaint shall not be delegated to any other person.

[Rule 1118(1) – (7)(a) amended, June 25, 2021]

[Rule 1118(1) – (4) amended; former (5) and (6) deleted, changing numbering sequence, April 28, 2023]

[Rule 1118(1) and (2) amended; former (3) deleted, changing numbering sequence; (4)(b) amended, May 1, 2025]

Notification of Parties

1119 The Society shall promptly serve the member with and notify the complainant and the Designated Representative in the member's firm, in writing, of any Formal Complaint and appointment of a Hearing Committee pursuant to Rule 1118.

Confidentiality

1120(1) Any of the following information considered or decisions made or action taken prior to the service of a Formal Complaint on the member pursuant to subrule 1110(4) and the appointment of a Hearing Committee pursuant to Rule 1118 shall be kept confidential and, unless otherwise ordered by the Chairperson of the Hearing Committee, or otherwise set out in the Rules, shall not be disclosed except for the purpose of complying with the objects of the Act or in responding to an enquiry made for the purpose of a potential judicial appointment:

- (a) information and documents considered; and
- (b) reports prepared, other than publication of anonymous Conduct Review Reports mentioned in subrule 1113(4).

(2) A discipline matter becomes public as soon as a Hearing Committee is appointed pursuant to Rule 1118 and a Formal Complaint has been served on the member pursuant to Rule 1110.

(3) Notwithstanding subrule (1), the Executive Director, in the Executive Director's sole discretion may, at any time, disclose to a law enforcement authority any information about possible criminal activity on the part of a member that is obtained during an investigation or audit pursuant to the Act.

Suspension of Member by Conduct Investigation Committee

1121(1) The Conduct Investigation Committee may suspend a member from practice pending:

- (a) the completion of an investigation and report; or
- (b) the decision of a Hearing Committee appointed pursuant to Rule 1118 to determine the matter.

(2) Subject to subrule (3), a suspension imposed pursuant to this Rule expires:

- (a) if the Conduct Investigation Committee directs that no Hearing Committee be appointed, on the day on which the Conduct Investigation Committee makes that direction; or
- (b) if a Hearing Committee is appointed pursuant to Rule 1118, on the completion of the hearing, unless the Hearing Committee continues the suspension beyond that day.

(3) Where a suspension is imposed pursuant to this Rule, the Conduct Investigation Committee may direct the Society to apply pursuant to section 61 of the Act for the appointment of a trustee.

Interim Suspension

1122(1) Subject to subrule (2), a Conduct Investigation Committee shall, before suspending a member pursuant to Rule 1121:

- (a) ensure that notice has been given to the member that consideration is being given to suspending the member, for the reasons specified in the notice; and
- (b) ensure that the member has a reasonable opportunity to make representations to the Conduct Investigation Committee respecting the suspension.

(2) A Conduct Investigation Committee may act pursuant to section 45 of the Act without complying with subrule (1) if it is satisfied that such action is necessary to protect the public or the member's clients, or both.

(3) If a Conduct Investigation Committee orders an oral hearing in relation to subrule (1)(b):

- (a) the hearing shall be conducted in private, unless the Committee orders otherwise; and

- (b) the proceedings shall be recorded by a court reporter and any person may obtain, at the person's expense, a transcript of any part of the hearing that the person was entitled to attend.

(4) If a Conduct Investigation Committee concludes that a member should be suspended pursuant to section 45 of the Act, the Committee shall promptly advise:

- (a) the member and the Designated Representative of the member's firm in writing that the member is suspended and the reasons for it, and that the member has the right to request a review of the suspension pursuant to Rule 1123; and
- (b) the Deputy Minister of Justice pursuant to subsection 54(1) of the Act.

Review of Interim Suspension

1123(1) A member who is suspended from practice pursuant to this Rule may, at any time during the period of suspension, by notice in writing to the Hearing Administrator, request a review of the suspension.

(2) On receipt of a request pursuant to subrule (3), the Hearing Administrator shall appoint up to three Benchers as a Review Panel to conduct a review hearing.

(3) The Review Panel shall consider:

- (a) the record of materials before the Conduct Investigation Committee and its reasons;
- (b) any additional information as they see fit; and
- (c) any arguments from the member and Discipline Counsel as they see fit regarding the suspension.

(4) A review hearing shall be commenced as soon as practicable and, in any event, not later than 7 days after the date on which the request was received by the Hearing Administrator, unless the member consents to a longer time.

(5) No Bencher who was one of the following members shall participate as a panelist in a review pursuant to this Rule:

- (a) a member of the Conduct Investigation Committee that suspended the member pursuant to section 45 of the Act;
- (b) a member of a Conduct Review Committee that reviewed the matter; or
- (c) a member of a Complainants' Review Committee that reviewed the matter.

(6) A review of the suspension pursuant to this Rule shall be conducted in private, unless the Review Panel orders otherwise.

(7) All proceedings at a review pursuant to this Rule shall be recorded by a court reporter and any person may obtain, at the person's expense, a transcript of any part of the hearing that the person was entitled to attend.

(8) The Review Panel appointed pursuant to subrule (2) shall determine the practice and procedure to be followed at the hearing.

(9) The Review Panel shall inform the member of the decision and the reason for the decision.

(10) In the absence of a material change in circumstances, the decision of the Review Panel shall be final.

(11) Where a material change in circumstances is alleged, a new Review Panel shall be appointed to:

- (a) consider the prior decision of the Review Panel;
- (b) hear evidence in relation to the alleged material change in circumstances; and
- (c) determine whether a modification of the prior decision of the Review Panel is appropriate.

[Rule 1123(1), (2) and (4) amended, December 3, 2021]

Fixing a Date for Hearing

1124(1) The Hearing Administrator shall, in consultation with all participants, fix the date, time and place of the hearing.

(2) Discipline Counsel shall notify the member, the Designated Representative of the member's firm and the complainant, in writing, of the date, time and place of the hearing.

(3) The Society shall publish the date, time and place of the hearing on the Society website.

[Rule 1124(1) amended, June 25, 2021]

Disclosure of Evidence

1125(1) As soon as practicable after a Formal Complaint is served, Discipline Counsel shall disclose to the member a copy of all relevant documents in the possession of the Society or under its control or power, except to the extent that the documents are privileged.

(2) Not less than two weeks before the date set for the commencement of a hearing before the Hearing Committee, the member and Discipline Counsel shall provide to each other the following:

- (a) the names of each of the witnesses that the party intends to call to give evidence at the hearing;
- (b) copies of any written statements, or where no written statements exist, a summary of the evidence that the party expects will be given by that witness;
- (c) if a witness will be called to give expert evidence, a summary of the qualifications of that witness; and
- (d) copies of all documents that the party intends to introduce into evidence at the hearing unless those documents have already been provided pursuant to subrule (1).

(3) The Hearing Committee appointed to hear the Formal Complaint may, if it determines that Discipline Counsel has not complied with subrule (1) or (2), extend the time for making full disclosure and make any other order it considers necessary for the effective conduct of the hearing.

(4) A member may, at any time before the hearing commences, apply for disclosure of the circumstances of the alleged misconduct.

(5) An application pursuant to subrule (4) shall be made:

- (a) to the Chairperson of the Hearing Committee that has been appointed to hear the Formal Complaint; and
- (b) in writing or, with the approval of the Chairperson of the Hearing Committee, in person or by telephone.

(6) The Chairperson of the Hearing Committee shall, if satisfied that an allegation in the Formal Complaint does not contain sufficient detail of the circumstances of the alleged conduct unbecoming to give the

member reasonable information with respect to the act or omission to be proved, and to identify the transaction referred to, order Discipline Counsel to disclose further details of the circumstances.

(7) Details of the circumstances disclosed pursuant to subrule (6) shall:

- (a) be in writing; and
- (b) be delivered to the member or the member's counsel.

(8) If, as a result of the information disclosed by the other party pursuant to subrule (1) or (2), a party intends to introduce evidence at the hearing in addition to the evidence it has disclosed, that party shall provide the information referred to in subrule (1) or (2) with respect to that additional evidence.

(9) The Hearing Committee shall not permit:

- (a) a witness to testify unless the name of that witness, a summary of that witness' evidence, and, if the witness is called to give expert evidence, a summary of that witness' qualifications has been disclosed in accordance with subrule (2) or (8); or
- (b) a document to be entered into evidence unless the information respecting that document has been disclosed in accordance with subrule (1) or (8).

(10) Notwithstanding subrules (1) and (2), if the Hearing Committee is satisfied that the failure to disclose the required information arose through inadvertence, or that the information was not in the possession of the party at the time that disclosure was required, or that for any other compelling reason it would be manifestly unfair to exclude evidence or documents not disclosed as required, or if the opposing party consents, the Hearing Committee may permit such evidence to be given, or such documents to be introduced into evidence.

(11) The Hearing Committee's permission pursuant to subrule (10) may be given on such terms or conditions as the Hearing Committee may determine, including the following:

- (a) the Hearing Committee may adjourn the hearing for such time as the Hearing Committee considers reasonable to permit the other party the opportunity to respond to such evidence;
- (b) the Hearing Committee may require the party who requests the introduction of such evidence to agree to pay an amount of costs, as estimated by the Committee, which may be incurred by the member or the Society as a result of the failure to disclose such evidence in accordance with subrules (1), (2) or (8).

Powers and Duties of Hearing Committee

1126(1) A Hearing Committee shall hear the Formal Complaint with respect to which it is appointed and determine the matter.

(2) Subject to subrule (3), a Hearing Committee may:

- (a) make a finding of conduct unbecoming on any charge revealed by the facts; and
- (b) if the circumstances warrant for the purposes of clause (a), substitute the charge mentioned in clause (a) for, or amend or add to, the charge set out in the Formal Complaint that the Committee was appointed to hear.

(3) If, during the hearing, the evidence shows that the conduct of the member who is the subject of the hearing may warrant a charge that is different from or in addition to a charge specified in the Formal Complaint, the Hearing Committee:

- (a) shall notify the member of that fact; and

(b) may amend, add to or substitute the charge in the Formal Complaint.

(4) If a Hearing Committee acts pursuant to clause (3)(b), it shall adjourn the hearing for any period that the Committee considers sufficient to give the member an opportunity to prepare a defence to the amended, added or substituted charge in the Formal Complaint, unless the member otherwise consents.

(5) Subject to Rule 1123 and subrule 1127(5), the Hearing Committee shall conduct all hearings in public.

Hearing Committee Procedure

1127(1) The testimony of witnesses is to be under oath or affirmation administered by the Chairperson of the Hearing Committee.

(2) A member whose conduct is the subject of a hearing is competent and compellable to give evidence at the hearing.

(3) If the member whose conduct is the subject of the hearing fails to attend the hearing, the Hearing Committee, on proof of service of the notice mentioned in Rule 1119, may proceed with the hearing in the member's absence.

(4) A member who appears in person or by counsel before the Hearing Committee is deemed to have received proper notice unless the appearance is for the purpose of challenging the notice.

(5) The Hearing Committee may exclude the complainant or the public from any part of the hearing if the Hearing Committee is of the opinion that:

- (a) evidence brought in the presence of the complainant or the public may result in a breach of solicitor and client privilege; or
- (b) the possible disclosure of intimate financial or personal matters outweighs the desirability of allowing the complainant or the public to be present during part of the hearing.

(6) The Hearing Committee may act pursuant to subrule (5) whether a member or any other person who may claim solicitor and client privilege has acted pursuant to subsection 84.1(3) of the Act.

(7) A Hearing Committee may accept any evidence that it considers appropriate and is not bound by the rules of law concerning evidence.

(8) A document purporting to be signed by the Executive Director or an equivalent officer of a law society in another province or territory that states that a person was convicted of a disciplinary offence by the law society in that province or territory and that may contain a summary of the facts surrounding that offence is admissible in evidence as proof, in the absence of evidence to the contrary, of its contents without proof of the appointment or signature of the Executive Director or other officer.

Hearing of the Formal Complaint

1128(1) A person shall not participate as a panelist in the hearing of a Formal Complaint against the member pursuant to this Rule if the person was:

- (a) a member of a Complainants' Review Committee that reviewed the matter;
- (b) a Competency Committee Chairperson or Practice Advisor who reviewed the matter;
- (c) a member of a Conduct Review Committee that reviewed the matter; or
- (d) a member of the Conduct Investigation Committee that inquired into the matter pursuant to Rule 1110 or suspended the member pursuant to Rule 1121.

(2) The Chairperson of the Hearing Committee may adjourn the hearing from time to time.

(3) All proceedings at a hearing shall be recorded by a court reporter and any person may obtain, at the person's expense, a transcript of any part of the hearing that the person was entitled to attend.

(4) A Hearing Committee may consider at a hearing one or more Formal Complaints, each of which may contain one or more allegations.

(5) The Hearing Committee shall, where it is satisfied that the interests of justice so require, order that one or more allegations in a Formal Complaint be heard at a separate hearing before a differently constituted Hearing Committee.

(6) The Hearing Committee shall determine the practice and procedure to be followed at the hearing.

[Rule 1128(1)(a) amended, December 6, 2024]

Suspension of Member by Hearing Committee

1129(1) A Hearing Committee may suspend from practice the member whose conduct is the subject of the hearing pending its decision.

(2) A suspension imposed pursuant to subrule (1) expires on the completion of the hearing, unless the Hearing Committee continues the suspension beyond that day.

(3) Notwithstanding Rule 1121, the Hearing Committee may, at any time during the hearing, revoke a suspension imposed pursuant to Rule 1121 and section 45 of the Act.

Hearing Committee Decision

1130(1) The Hearing Committee shall, following completion of the evidence and submissions from Discipline Counsel and the member, by majority decision:

- (a) make its findings as to the facts in issue;
- (b) make its decision respecting each allegation in the Formal Complaint;
- (c) determine whether the member may be guilty of a criminal offence related to the member's practice, in which case subsection 54(2) of the Act applies.

(2) A Hearing Committee shall provide its decision as soon as possible following the conclusion of the hearing.

Penalty Decision

1131(1) This Rule applies if the Hearing Committee makes a finding of conduct unbecoming with respect to a Formal Complaint.

(2) The Hearing Committee:

- (a) may consider any relevant information respecting the member's professional conduct history; and
- (b) shall invite Discipline Counsel and the member to make submissions as to penalty.

(3) The Hearing Committee may, by order, do one or more of the following:

- (a) assess any penalties or impose any requirements that it considers appropriate, including but not limited to:
 - (i) directing that the member be disbarred and setting the period, not exceeding five years, during which the person is not eligible to apply for reinstatement;

- (ii) suspending the member from practice for a specified period or until specified requirements are met, including requirements that the member:
 - (A) successfully complete specified courses;
 - (B) obtain medical treatment or treatment for addiction to drugs or alcohol;
- (iii) specifying conditions under which the member may continue to practise, including conditions that the member:
 - (A) not do specified types of work;
 - (B) successfully complete specified classes;
 - (C) not have exclusive control of the member's trust account;
 - (D) obtain medical treatment or treatment for addiction to drugs or alcohol;
 - (E) practise only as a partner with, or as an associate or employee of, one or more members that the Committee may specify;
- (iv) imposing a fine in any amount that the Committee may specify;
- (v) requiring the member to pay restitution, in any amount that the Committee may specify, to any aggrieved party;
- (vi) requiring the member to pay costs of the inquiry calculated in accordance with Rule 1135;
- (vii) reprimanding the member;
- (viii) permitting the member to resign from the Society;
- (b) if the Formal Complaint relates to the transfer of identified property or funds in an ascertainable amount, require the member to transfer the property or the amount to the rightful owner;
- (c) make any other direction or set any additional requirement that the Committee considers appropriate.

(4) In addition to a decision made pursuant to subrule (3), the Hearing Committee may order that, if a member fails to make payment in accordance with an order pursuant to subclause (3)(a)(iv) or (v), the member be suspended from practice.

(5) When the Hearing Committee makes an order pursuant to this section, the Hearing Committee shall:

- (a) specify the penalty assessed or requirement imposed in its decision pursuant to subrule (3);
- (b) send the following to the member:
 - (i) a copy of the Committee's decision; and
 - (ii) a notice of the penalty assessed, or requirement imposed; and
- (c) send a notice of the penalty assessed or requirement imposed to the complainant, if any, and may send a notice of the penalty assessed or requirement imposed to any other person that the Hearing Committee considers advisable;
- (d) if it imposes a fine, fix the date by which payment to the Society shall be completed;

SCHEDULE 1

Law Society Fees and Assessments

Note: The federal goods and services tax applies to Law Society fees and assessments.

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| A. Active Member Annual Fee | \$ |
| 1. Practice fee | 2,700 |
| 2. Special Fund assessment (included in Practice fee) | 250 |
| 3. Late payment fee | 75/wk or part thereof |
| 4. Quarterly payment administration fee | 100 |
| B. Inactive Member Fees | |
| 1. Inactive member annual fee | 150 |
| C. Liability Insurance Assessment | |
| 1. Annual Assessment | 1,546 |
| 2. Late payment fee | 75/wk or part thereof |
| 3. Insurance deductible reimbursement late payment fee | 100 |
| D. Student-at-law Fees | |
| 1. Student-at-law application fee | 175 |
| 2. Articling fee (applies to standard, composite and joint articles) | 200 |
| 3. Articling assignment fee | 175 |
| E. Admission as a Lawyer Fees | |
| 1. Lawyer admission application fee | 175 |
| 2. Lawyer enrollment fee | 200 |
| 3. Admission on transfer application fee (Lawyer or Canadian Legal Advisor) | 300 |
| 4. Admission on transfer enrollment fee (Lawyer or Canadian Legal Advisor) | 1,000 |
| F. Interjurisdictional Practice Permit | |
| 1. Interjurisdictional Practice Permit | 175 |
| 2. Interjurisdictional Practice Permit Renewal | 175 |

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| G. Reinstatement Fees | |
| 1. By former member, following disbarment | 2,000 |
| 2. By disqualified member becoming an active or inactive member | 500 plus fee for year of default |
| 3. All other applications for reinstatement | 175 |
| H. Certificate of Standing Fee | |
| 1. Certificate of Standing Fee | 100 |
| I. License and Permit Fees | |
| 1. Foreign legal consultant permit fee | 500 |
| 2. Foreign legal consultant renewal fee | 150 |
| J. Waiver of Rules | |
| 1. First application | 100 |
| 2. Each subsequent application respecting the same Rule | 250 |
| K. Professional Corporation | |
| 1. Application for registration of Professional Corporation | 300 |
| 2. Application for registration of Limited Liability Partnership | 200 |
| 3. Annual renewal for Professional Corporation | 300 |
| L. Continuing Professional Development | |
| 1. Approval of remedial CPD plan | 500 |
| 2. Appeal of decisions | 100 |
| 3. Reinstatement fee payable by member disqualified for failing to comply with the CPD Policy | 750 |
| 4. Late compliance fee | 400 |
| M. Firm Regulation Compliance | |
| 1. Late delivery fine | 200 |
| 2. Compliance fine | 500 per month |

[Part D(4) and (5), Student-at-law Fees, deleted, May 1, 2020]

[Part G(2) amended, April 29, 2022]

[Part C(1) amended, May 11, 2022]

[Part A(1) and (2) amended; Part E(3) and (4) amended; Part K(1) and (3) amended, October 28, 2022]

[Part C(1) amended, April 28, 2023]

[Part A(2) amended, September 22, 2023]

[Part A(1), Part D(2) and Part E(2) amended, October 4, 2024]

[Part D(2) amended, December 6, 2024]

[Part C(1) amended, May 1, 2025]